# Sugar++: A SAT-Based MAX-CSP/COP Solver

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# Background

- Enormous progress in performance of SAT solvers has been made in the last decade.
- Such progress has enabled to solve problems by encoding them to SAT problems: hardware verification, planning, and scheduling.
- Sugar is a CSP solver based on a new SAT-encoding method named "order encoding".
- In the order encoding, a comparison  $x \le a$  is encoded by a different Boolean variable  $P_{x,a}$  for each integer variable x and integer value a.
- In Sugar, a SAT-encoded CSP is solved by the MiniSat (Eén and Sörensson) solver.

# Main features of Sugar++

- Sugar++ is an enhancement of Sugar by using an incremental version of MiniSat.
- In Sugar++, a MAX-CSP is translated into a COP (Constraint Optimization Problem), and then it is encoded into a SAT problem except an optimization condition.
- Sugar++ solves the COP by invoking only one MiniSat process for a single SAT problem with varying the bound condition of the objective variable.
- Therefore the learnt clauses generated during the search can be reused

## **Translating MAX-CSP into COP**

- Given a CSP, the Max-CSP is to find an assignment that minimizes the number of violated constraints.
- The Max-CSP for a CSP(V, Dom, {C<sub>1</sub>, C<sub>2</sub>,..., C<sub>n</sub>}) can be translated into a COP: minimize cost s.t. CSP(V\*, Dom\*, C\*) as follows:
  - $V^* = V \cup \{c_1, \ldots, c_n, cost\}$ 
    - $c_i$ : The penalty of the constraint  $C_i$ .
    - cost: The objective variable to be minimized.

• 
$$Dom^*(x) = \begin{cases} \{0,1\} & \text{if } x = c_i \quad (1 \le i \le n) \\ \{0,\ldots,n\} & \text{if } x = cost \\ Dom(x) & \text{otherwise} \end{cases}$$
  
•  $\mathcal{C}^* = \{(c_1 = 0) \Rightarrow C_1, \\ (c_2 = 0) \Rightarrow C_2, \\ \ldots \\ (c_n = 0) \Rightarrow C_n, \\ cost > \sum c_i\}$ 

### **MAX-CSP**

```
(int x 0 2) (int y 1 3) | x \in \{0,1,2\}, y \in \{0,1,2\}
(= (+ x (* 2 y)) 5) | x + 2y = 5
(= (+ (* 2 x) (* 3 y)) 8) | 2x + 3y = 8
```

#### **MAX-CSP**

```
(int x 0 2) (int y 1 3) \begin{vmatrix} x \in \{0,1,2\}, y \in \{0,1,2\} \\ (= (+x (*2 y)) 5) & | x + 2y = 5 \\ (= (+(*2 x) (*3 y)) 8) & | 2x + 3y = 8 \end{vmatrix}
```

## **COP**

• The symbol imp means an implication.

#### **MAX-CSP**

```
(int x 0 2) (int y 1 3) \begin{vmatrix} x \in \{0,1,2\}, y \in \{0,1,2\} \\ (= (+x (*2 y)) 5) & |x+2y=5| \\ (= (+(*2 x) (*3 y)) 8) & |2x+3y=8| \end{vmatrix}
```

## **COP**

- The symbol imp means an implication.
- The integer variables c1 and c2 are the penalties of the corresponding constraints.

#### **MAX-CSP**

```
(int x 0 2) (int y 1 3) x \in \{0, 1, 2\}, y \in \{0, 1, 2\}
(= (+ x (* 2 y)) 5) x + 2y = 5
(= (+ (* 2 x) (* 3 y)) 8) x + 2y = 8
```

## **COP**

```
(int x 0 2) (int y 1 3)

(int c1 0 1) (int c2 0 1)

(imp (= c1 0) (= (+ x (* 2 y)) 5))

(imp (= c2 0) (= (+ (* 2 x) (* 3 y)) 8))

(int cost 0 2) (>= cost (+ c1 c2))

(objective minimize cost)
```

- The symbol imp means an implication.
- The integer variables c1 and c2 are the penalties of the corresponding constraints.
- The cost is the objective variable to be minimized.

# Solving COP by using SAT solver

 A solution to SAT-encoded COP can be obtained by bisection search with varying the bound condition of the objective variable.



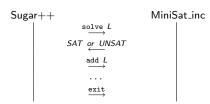
 For each search, the original Sugar invokes a different MiniSat process. This slows down the execution speed because the learnt clauses can not be reused in addition to the invocation overhead of multiple MiniSat processes.



 To solve this problem, Sugar++ uses an incremental version of MiniSat called MiniSat inc.

## MiniSat\_inc: an incremental version of MiniSat

- We modify the MiniSat so that it can deal with the following three commands from the standard input:
  - add  $L_1$   $L_2$   $\cdots$   $L_n$ Adds a clause  $\{L_1, L_2, \dots, L_n\}$  to the SAT clause database.
  - solve  $L_1 L_2 \cdots L_m$ Solves the SAT problem with assumptions. These assumptions are not used for conflict analysis.
  - exit
     Terminates the MiniSat\_inc.
- Bi-directional IO is used to perform communication between Sugar++ and MiniSat\_inc.



## **COP Example**

```
(int x 0 5) (int y 0 5) 0 \le x \le 5, 0 \le y \le 5 (>= x y) (>= (+ x y) 4) x \ge y, x + y \ge 4 (objective minimize x)
```

- A COP is encoded into a SAT problem except the bound condition of the objective variable.
- MiniSat\_inc is invoked with the SAT problem except the bound condition of the objective variable x.
- The bound condition of x are passed to MiniSat\_inc during the bisection search.
  - Unknown conditions are passed as assumptions.
  - Decided conditions are added to the SAT clause database.

|                    |  | (int x 0 5)     |
|--------------------|--|-----------------|
| SAT                |  | (int y 0 5)     |
| clause<br>database |  | (>= x y)        |
|                    |  | -(>= (+ x y) 4) |
|                    |  |                 |
| An assumption      |  |                 |

• At First, the CSP part of the COP is encoded by using order encoding method.

|               | $ \begin{array}{c c} -P_{x,0} \lor P_{x,1} \\ -P_{x,2} \lor P_{x,3} \end{array} $ | $ \begin{array}{c} -P_{x,1} \lor P_{x,2} \\ -P_{x,3} \lor P_{x,4} \end{array} $ | (int x 0 5)    |
|---------------|---|---|----------------|
| CAT           | $-\overline{P}_{y,0} \vee \overline{P}_{y,1}$                                     | $-P_{y,1} \lor P_{y,2}$   | (int y 0 5)    |
| SAT           | $-P_{y,2} \lor P_{y,3} - P_{y,3}$   | $-P_{y,3} \vee P_{y,4}$   |                |
| clause        | $-\overline{P}_{x,0} \vee \overline{P}_{y,0}$                                     | $-P_{x,1} \vee P_{y,1}$   | (>= x y)       |
| database      | $-P_{x,2} \vee P_{y,2}$   | $-P_{x,3} \vee P_{y,3}$   |                |
|               | $\lfloor -P_{x,4} \lor P_{y,4} \rfloor$   |   |                |
|               | $-P_{x,0} \lor -P_{y,3}$  | $-P_{x,1} \vee -P_{y,2}$  | (>= (+ x y) 4) |
|               | $-P_{x,2} \vee -P_{y,1}$  | $-P_{x,3} \lor -P_{y,0}$  | <br>           |
|               |   |   |                |
| An assumption |   |   |                |

 At First, the CSP part of the COP is encoded by using order encoding method.

|               | $ \begin{array}{c c} -P_{x,0} \lor P_{x,1} \\ -P_{x,2} \lor P_{x,3} \end{array} $                | $ \begin{array}{c} -P_{x,1} \lor P_{x,2} \\ -P_{x,3} \lor P_{x,4} \end{array} $ | (int x 0 5)     |
|---------------|--|---|-----------------|
| CAT           | $-\bar{P}_{y,0} \lor \bar{P}_{y,1}$  | $-P_{y,1} \vee P_{y,2}$   | - (int y 0 5)   |
| SAT<br>clause | $ \begin{vmatrix} -P_{y,2} \lor P_{y,3} \\ -\bar{P}_{x,0} \lor \bar{P}_{y,0} - \end{vmatrix} - $ | $\frac{-P_{y,3} \vee P_{y,4}}{-P_{x,1} \vee P_{y,1}} -$                         | (>= x y)        |
| database      | $ \begin{array}{c c} -P_{x,2} \lor P_{y,2} \\ -P_{x,4} \lor P_{y,4} \end{array} $                | $-P_{x,3} \vee P_{y,3}$   |                 |
|               | L — — — — - <sup>-</sup> — —   | $-P_{x,1} \lor -P_{y,2}$  | -(>= (+ x y) 4) |
|               | $-P_{x,2} \lor -P_{y,1} -$   | $-P_{x,3} \lor -P_{y,0}$  |                 |
| An assumption | $P_{x,3}$  |   | (<= x 3)        |

- Sugar++ assumes ( $\leq x = 3$ ), that is  $P_{x,3}$ , since  $0 \leq x \leq 5$ .
- This CNF under the assumption (<= x 3) is satisfiable.
- The learnt clauses are generated and added to the SAT clause database during the search.

|               | $-P_{x,0} \vee P_{x,1}$                      | $-P_{x,1} \vee P_{x,2}$  | (int x 0 5)    |
|---------------|--|--------------------------|----------------|
|               | $-P_{x,2} \vee P_{x,3}$                      | $-P_{x,3} \vee P_{x,4}$  |                |
|               | $-\bar{P}_{y,0} \lor \bar{P}_{y,1}$          | $-P_{y,1} \vee P_{y,2}$  | (int y 0 5)    |
| SAT           | $-P_{y,2} \vee P_{y,3}$                      | $-P_{y,3} \lor P_{y,4}$  |                |
| clause        | $-\bar{P}_{x,0} \lor \bar{P}_{y,0}$          | $-P_{x,1} \vee P_{y,1}$  | (>= x y)       |
| database      | $-P_{x,2} \vee P_{y,2}$                      | $-P_{x,3} \vee P_{y,3}$  |                |
|               | $-P_{x,4} \vee P_{y,4}$                      |                          |                |
|               | $[ -\bar{P}_{x,0} \ \lor -\bar{P}_{y,3} \ ]$ | $-P_{x,1} \vee -P_{y,2}$ | (>= (+ x y) 4) |
|               | $-P_{x,2} \vee -P_{y,1}$                     | $-P_{x,3} \vee -P_{y,0}$ |                |
|               | $\bar{P}_{x,3}$                              |                          | (<= x 3)       |
| An assumption |  |                          |                |

• Sugar++ adds a unit clause  $\{P_{x,3}\}$  to the SAT clause database since this CNF under the assumption (<= x 3) is satisfiable.

|               | $-P_{x,0} \vee P_{x,1}$              | $-P_{x,1} \vee P_{x,2}$                                 | (int x 0 5)    |
|---------------|--------------------------------------|---|----------------|
|               | $-P_{x,2} \vee P_{x,3}$              | $-P_{x,3} \vee P_{x,4}$                                 |                |
|               | $-P_{y,0} \vee P_{y,1}$              | $-P_{y,1} \vee P_{y,2}$                                 | (int y 0 5)    |
| SAT           | $-P_{y,2} \vee P_{y,3}$              | $-P_{y,3} \vee P_{y,4}$                                 |                |
| clause        | $-\bar{P}_{x,0} \vee \bar{P}_{y,0}$  | $-P_{x,1} \lor P_{y,1}$                                 | (>= x y)       |
| database      | $-P_{x,2} \vee P_{y,2}$              | $-P_{x,3} \vee P_{y,3}$                                 |                |
|               | $-P_{x,4} \vee P_{y,4}$              |   |                |
|               | $-\bar{P}_{x,0} \vee -\bar{P}_{y,3}$ | $-\overline{P}_{x,1}\overline{\vee}-\overline{P}_{y,2}$ | (>= (+ x y) 4) |
|               |                                      | $-P_{x,3} \vee -P_{y,0}$                                |                |
|               | $P_{x,3}$                            |   | (<= x 3)       |
| An assumption |                                      |   |                |

- Sugar++ adds a unit clause  $\{P_{x,3}\}$  to the SAT clause database since this CNF under the assumption (<= x 3) is satisfiable.
- The unit propagations eliminate some clauses.

|                    |                                 | $-P_{x,1} \vee P_{x,2}$                                       | (int x 0 5)      |
|--------------------|---------------------------------|---|------------------|
| SAT                |                                 | $-P_{y,1} \lor P_{y,2}$                                       | (int y 0 5)      |
| clause<br>database | $-P_{x,2} \lor P_{y,2}$         | $-P_{x,1} \lor P_{y,1}$                                       | (>= x y)         |
|                    | $igg  \ -P_{x,2} \lor -P_{y,1}$ | $-\overline{P}_{x,1}$ $\overline{\vee}$ $-\overline{P}_{y,2}$ | - (>= (+ x y) 4) |
|                    | ^:=                             |   | (<= x 3)         |
| An assumption      | $P_{x,1}$                       |   | (<= x 1)         |

- Next, Sugar++ assumes ( $\leq x = 1$ ), that is  $P_{x,1}$ , since  $0 \leq x \leq 3$ .
- The learnt clauses that are generated in previous search are reused.

|                    |   | $-P_{x,1} \vee P_{x,2}$                  | (int x 0 5)      |
|--------------------|---|--|------------------|
| SAT                |   | $-P_{y,1} \lor P_{y,2}$                  | (int y 0 5)      |
| clause<br>database | $-P_{x,2} \lor P_{y,2}$                 | $-P_{x,1} \lor P_{y,1}$                  | (>= x y)         |
|                    | $igg  \ -P_{x,2} \lor -P_{y,1}$         | $-\bar{P}_{x,1}$ $\vee$ $-\bar{P}_{y,2}$ | - (>= (+ x y) 4) |
|                    | ^, <del>-</del> <del>-</del> <u>- '</u> | $-P_{x,1}$                               | (<= x 3) (> x 1) |
| An assumption      |   |  |                  |

- Next, Sugar++ assumes ( $\leq x = 1$ ), that is  $P_{x,1}$ , since  $0 \leq x \leq 3$ .
- The learnt clauses that are generated in previous search are reused.
- Sugar++ adds a unit clause  $\{-P_{x,1}\}$  to the SAT clause database since this CNF under the assumption (<= x 1) is unsatisfiable.  $-P_{x,1}$  is the negation of  $P_{x,a}$ .

|                    |   | $-P_{x,1} \vee P_{x,2}$   | (int x 0 5)        |
|--------------------|---|---------------------------|--------------------|
| SAT                |   | $-P_{y,1} \lor P_{y,2}$   | (int y 0 5)        |
| clause<br>database | $-P_{x,2} \vee P_{y,2}$                                 | $-P_{x,1} \vee P_{y,1}$   | (>= x y)           |
|                    | $igg  egin{array}{cccccccccccccccccccccccccccccccccccc$ | $-P_{x,1}$ $V$ $-P_{y,2}$ | - (>= (+ x y) 4)   |
|                    | x,2   | $-P_{x,1}$                | -(<= x 3) -(> x 1) |
| An assumption      |   |                           |                    |

- Next, Sugar++ assumes ( $\leq x$  1), that is  $P_{x,1}$ , since  $0 \leq x \leq 3$ .
- The learnt clauses that are generated in previous search are reused.
- Sugar++ adds a unit clause  $\{-P_{x,1}\}$  to the SAT clause database since this CNF under the assumption (<= x 1) is unsatisfiable.  $-P_{x,1}$  is the negation of  $P_{x,a}$ .
- The unit propagations eliminate some clauses.

|                    |                          | (int x 0 5)               |
|--------------------|--------------------------|---------------------------|
| SAT                |                          | (int y 0 5)               |
| clause<br>database | $-P_{x,2} \lor P_{y,2}$  | (>= x y)                  |
|                    | $-P_{x,2} \lor -P_{y,1}$ | (>= (+ x y) 4)            |
| An assumption      | $P_{x,2}$                | (<= x 3) (> x 1) (<= x 2) |

- Sugar++ assumes ( $\leq x = 2$ ) since  $2 \leq x \leq 3$ .
- The learnt clauses that are generated in previous search are reused.
- Because this CNF under the assumption ( $\leq x = 2$ ) is satisfiable, the optimal value x = 2 is found.

## **Conclusion**

- We talked about Sugar++ that is an enhancement of Sugar by using an incremental version of MiniSat.
- In Sugar++, a MAX-CSP is translated into a COP, and then it is encoded into a SAT problem except an optimization condition.
- Sugar++ solves the COP by invoking only one MiniSat process for a single SAT problem with varying the bound condition of the objective variable.
- Therefore the learnt clauses generated during the search can be reused

## **Benchmarks**

|                 |                  |        | _       |               |
|-----------------|------------------|--------|---------|---------------|
|                 | No. of searching | Sugar  | Sugar++ | Sugar/Sugar++ |
| gcp-2-FullIns_5 | 4                | 255.27 | 334.64  | 0.76          |
| golombRuler-8   | 6                | 15.24  | 18.48   | 0.82          |
| jss-ft10        | 9                | 148.17 | 65.33   | 2.27          |
| oss-gp10-01     | 12               | 116.03 | 34.14   | 3.4           |
| Tdsp-C1-1       | 4                | 7.24   | 23.78   | 0.3           |
| AVERAGE         |                  |        |         | 1.51          |

- In average, Sugar++ is 50% faster than Sugar.
- Larger the number of searching are, more effective the incremental search are.